Rev. 04/03 Modified PTO 1082 For Other Than A Small Entity

Attorney Docket No. SHGN-16 DIV3

Applicants

Makoto Kobayashi et al.

For

PROCESSES FOR THE PREPARATION OF OXO-OXAZOLINE OR ALLOAMINO

Claire J. Saintil-van Goodman

ACID DERIVATIVES

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EV133110386US

Date of Deposit November 25, 2003

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Mail Stop Patent Application, from Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Mail Stop Patent Application Hon. Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER FOR RULE 53 (b)
CONTINUING PATENT APPLICATION

Sir:

This is a request for filing a \square continuation, \boxtimes divisional, application of pending prior Application No. $\underline{10/229,819}$, (Confirmation No. $\underline{2197}$), filed $\underline{\text{August 27, 2002}}$.

Transmitted herewith for filing are the X Specification (29 pages); X Claims (4 pages); X Abstract (1 page); X a copy of an Executed Declaration and Power of Attorney; X a copy of an Associate Power of Attorney; X PrintEFS Application Data Sheet; X Preliminary Amendment; for the above-identified patent application.

The enclosed declaration is:

| | Newly executed (original or copy). |
|---|--|
| X | Copy from a prior application (37 C.F.R. § 1.63(d)). |
| _ | |

- A signed statement is attached deleting inventors named in the prior application (37 C.F.R. §§ 1.63(d)(2) and 1.33(b)).
- The entire disclosure of the prior application, 10/229,819 (Confirmation No. 2197, filed August 27, 2002), from which a copy of the declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

 \overline{X} The prior applications, Application No. $\underline{10/070,974}$, filed \underline{June} $\underline{19, 2002}$ and Application No. $\underline{10/229,819}$ filed $\underline{August 27, 2002}$, are assigned of record to $\underline{Shionogi \& Co., Ltd.}$.

| | Also transmitted | herewith are: | |
|------------|-------------------------------|--|---|
| — | sheets of | 5: | |
| | Formal drawings | 3. | • |
| filed duri | ☐ Informal drawin | ngs. Formal drawings will be this application. | |
| An ass | ignment of the inven | ntion to | |
| | A check in the recording fee. | amount of \$40.00 to cover the | |
| | in payment of the | \$40.00 to Deposit Account No. 06-1075 he recording fee. A duplicate copy ttal letter is transmitted herewith. | |
| | | riority document, | |
| The filing | fee has been calcu | lated as shown below*: | |
| | NUMBER | NUMBER | |

| FOR | NUMBER FILED | | | | NUMBER EXTRA | | RATE | | 71. | FEE |
|-----------------------|-----------------|-------|----|----------|-----------------|---|------|-------|-----|----------|
| BASIC FEE | | | | | | | | | | \$770.00 |
| TOTAL CLAIMS | 1 | - | 20 | = | | 0 | x | \$ 18 | = | \$0 |
| INDEPENDENT CLAIMS | 1 | - | 3 | = | | 0 | x | \$ 86 | = | \$0 |
| A MULTIPLE | DEPENDENT | CLAIM | | | | + | \$2 | 90 | = | \$0 |
| 2 (1900) | | | | 18.1 - 1 | | | | TOTAL | | \$770.00 |

- X A check in the amount of \$770.00 in payment of the filing fee is transmitted herewith.
- The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

^{*} after entry of the accompanying Preliminary Amendment

| | Please charge \$ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith. | |
|---|---|----|
| X | The Director is hereby authorized to charge payment of any additional fee required in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith. | :s |

James F. Haley, Jr. (Reg. No. 27,794) Stanley D. Liang (Reg. No. 43,753) Attorneys for Applicants Lawrence M. Brown (Reg. No. 52,660) Agent for Applicants

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